	Safety Department use only	Material(s) Classification
Loughborough University	Reference Number:	Hazard Group 1
		Hazard Group 2
Biological Risk Assessment	CBE Use only	GMO 🗆
	Reference Number: CBE BRA 190	HTA Licensable
EOPM CRE-PA-Form/002 Version 1.0	₽RA	101

RISK ASSESSMENT AND PROJECT REGISTRATION FOR WORK INVOLVING **BIOLOGICAL MATERIAL**

PLEASE READ CAREFULLY

This form acts to register projects involving the use of Biological Agents and / or Genetically Modified Micro-Organisms, or of materials that may be contaminated with these agents. It assesses the hazards and risks associated with the project as well as identifying those at risk and the measures necessary for preventing, or controlling these risks. Please ensure that sufficient detail is provided when completing this form and that the relevant written SOPs are referenced where required. Once completed and approved, all risk assessments must be supplied to all those working within this project. The work described within this form must not commence until this risk assessment has been completed and approved and that all necessary control measures are in place.

Any changes to the work, or the persons involved, must be notified to the authorised person. All changes requested must be recorded within the risk assessment change control form and may also need to be incorporated within an amended version of this form.

A separate risk assessment will be required for assessing risks associated with GMO activities.

The following declaration must be completed and undersigned by the Principal Investigator or Person Responsible for the project

- All information contained in this form is accurate and comprehensive
- All workers involved will be instructed that their work must remain within the boundaries of this project registration & assessment.
- All workers have been given, or will be given before they become involved, adequate training and where necessary their competency
- All workers have, or will be before their involvement begins, enrolled with Occupational Health for health clearance where necessary.
- It is understood that this risk assessment shall not be transferred to a third party without the PI/Supervisor/Line Manager named in this form either taking responsibility for the new activities, or ensuring that a new proposal is submitted.
- All changes to the work covered by this form will be reassessed & the changes submitted to the authorised person before those changes are made to the work.

	Principal Investigator			Person conducting this risk assessment
Name	Sotiris Korossis	,	Name	Sotiria Toumpaniari
Position	Professor of Biomedical Engineering and Bioartificia		Position	Research associate in Cardiovascular Regenerati
Department	Centre of Biological Engineering	-5	Department	Centre of Biological Engineering
School	Wolfson of MEME		School	Wolfson of MEME
	The Project Activity			Others involved in the work
	Culture of human cord blood endothelial cells		Names	Maria Pavlidou
	(CBECs) and their use for medical device endothelialisation.			Sotiris Korossis
Title			8 u	
		9	V G	
			- S	O
Reference Nu	mber			
Start Date	21 Sep 2020 End Date 3 Oct 2022		• . • . • .	
			* * * * * *	
Name	Sotiria Toumpaniari Signature Toump	ani	Tou	umpaniari Le: 2020.09.17 14:07:36 Date 17 Sep 2020

		1. INTROE	DUCTION							
1.1 Background & aim of project	haemodynamio thrombogeneio come in direct o The general ain endothelial cell	Direct contact of the patient's blood with the internal surface of the LVAD as well as the extraphysiological haemodynamics of medical devices have been reported to cause a variety of direct and indirect complications, including thrombogeneicity, bleeding diathesis and haemolysis (Sen & Oberton, 2018). Endothelialisation of medical devices that come in direct contact with blood has been suggested to prevent coagulation disorders. The general aim of this project is to cover the blood-contacting surface of candidate materials or medical device with endothelial cells and assess if their the hematocompatibility has been improved. Static and dynamic culture conditions are going to be used to evaluate the results.								
	CBECs are goin	g to be expanded to pre	epare a cell library.	* * * * * * * * * * * * * * * * * * *						
	T75 or T125 or will be added in centrifuge tube and the cell pel	Passaging cells -This will involve aspirating media off the cells, washing them gently in PBS and detaching them from the T75 or T125 or T175 flask using trypsin/EDTA and incubating in a CO2 incubator for 1.5-2 minutes. DMEM culture media will be added immediately following incubation to quench the trypsin reaction and the cells will be transferred to a sterile centrifuge tube. The cell suspension will be centrifuged at 300xg for 5 minutes. The supernatant will be removed to waste and the cell pellet will be re-suspended in fresh EGM-2 culture media. The cells will be counted (separate risk assessment) using NucleoCounter. Following calculation of viability, cells will be seeded into new culture flasks.								
		Medium will be remove the 5% CO2 incubator.	d from culture flasks and replaced with fresh med	lia; flasks will be return						
1.2 Description of experimental procedu	Freezing cells - "Cryopreservati and 1 ml cell su	Freezing cells - A working cell bank will be prepared in accordance to standard procedures as detailed in SOP031 "Cryopreservation and Storage of Mammalian Cell Lines". Freeze media containing 10% DMSO with FBS will be prepared and 1 ml cell suspensions will be added to labelled cryovials, before placing at -80C. Cells will remain at -80C for 24 and then, they will be transferred to liquid nitrogen.								
	Cryopreserved being transferre	Mammalian Cell Lines". ed to the BSC and adde	ng in accordance to standard procedures as deta Vials will be removed from storage and placed in I dropwise to 9ml warmed EGM-2 culture media. re being resuspended in fresh medium and place	a 37C water bath before Cell suspension will be						
			ordance with lab QMS requirements, good cell co ice (COP) and the university biological safety poli							
	The processes t	hat CBECs are going to	be used are going to be risk assessed in separate	documents.						
1.3 Where will this work be carried out?	Rooms/areas	H25, H23	* * * * * * * * * * * * * * * * * * * *							
	Building(s)	СВЕ								
√ 2.1 Human or animal tissue	es, cells, body flui	ds or excreta will	be used in this project							
	2. TISS	SUES, CELLS, BOD	Y FLUIDS OR EXCRETA							
2.2 List all cells, tissues, body flui	ds and excreta to b	e used. For cells, in	ndicate primary, continuous or finite.							
Material type	Organ source	Species	Where it will be obtaine (Include country of or	E-1546-500-50						
Endothelial cells	Cord blood	Human	Hannover Medical School, Hannover, German	у						
2.3 Material(s) listed in sec	ction 2.2 above ar	e considered to be	e 'relevant material' under the Huma	n Tissue Act 2004.						
2.11 Biological agents will	he used in this pr	oject								
			OF HAZARD GROUP	Application of the control of the co						
3.1. Are you confident that any non-GM o cannot potentially pose a threat to huma	-		component thereof covered by this assessment	○ Yes - Classify as HG1						
3.1.1. Can any non-GM organism, tissue, o	cell, body fluid, excreta	or any component there	of cause human disease and potentially be a ally effective prophylaxis or treatment available?							

	3. 0	LASSIFICATION OF HAZARE	GROUP						
3.1.2. Can any non-GM organism, tissue, cell, body a serious hazard to humans and that may spread t available?) Yes				
3.2. Do any of the materials contain pathogens or	3.2. Do any of the materials contain pathogens or toxins covered by the Anti-Terrorism Crime and Security Act?								
ASSIGNMENT OF CONTAINMENT LEVEL				Ha	azard g	roup 2			
	# (4)		7						
	4. TISS	UES, CELLS, BODY FLUIDS O	R EXCRETA						
4.2. Will any culturing of the material described in If Yes, describe which cell(s) will be cultured and unde				CBECs will be culture conditions. The dyna be continuous, pulsa flow regimes at diffe clinically relevant LV	amic con atile, or c erent flow	ditions are going to ontinuous/pulsatile vrates up to			
4.3. Could HIV permissive cells be present*? If Yes, describe the cells and for how long these cultur If unsure seek advice. Refer to CBE Code of Practice fo			O Yes No	0 a		 			
4.4. What is the maximum volume of culture grown	n?	* ,	Per Vessel	10,000,000		8 8			
			Number of vessels	10					
4.5. Will the tissues, cells, body fluids or excreta be concentration of adventitious biological agent pre	O Yes								
4.6. Will any of the tissues, cells or fluids be donated access to the labs?	d by you or you	r colleagues working in or with	O Yes O No		-14	a' ,			
	5.	RISKS AND CONTROL MEAS	SURES						
Risk	ž.	How will	this be controll	ed?		deference to SOP's / ther documentation			
5.1. Might infectious droplets, aerosols or splashes be created, either deliberately or by accident?		Aerosols may be generated manipulating solutions. A comanipulations to protect comanipulations to protect community and aerosols generated in accordance to the Herasafe KS Class II BSC) or HERASAFE KS Class II re-circulated in seed.	an Hoo Constitution of the	GOP009 "Use and Maintenance of Herasafe KS Class II BSC) or GOP104 "Use and Maintenance of HERASAFE KS Class II re-irculating BSCs"					
5.2. Will this material be transported within the laboratory e.g. between BSC & incubator?	Yes No	Cells will be contained in sealed flat transported within the laboratory. resulting in a biological spill, this v SOP038 "Biological Spill Response	. s	OP038 "Biological pill Response"					
5.3. Will this material (including waste) be transported locally between sites on campus but outside the laboratory?		Transport outside CBE lab unit is h constrained within the University of containers with outer packaging a "Biological Spill Response"	campus in sealed	flasks and sealed second	dary S	OP038 "Biological pill Response"			
5.4. Will material(s) listed in section 2.2 or section 2.3 be shipped to organisations elsewhere in the UK or abroad?	Ves No	Transportation is unlikely, but if re containers within secondary conta labels used. Approved couriers wil	inment vessels w	ith the appropriate haza	ard T	OP005 - Storage & ransport of iological Agents v2			

Risk	, ,	How will this be controlled?	Reference to SOP's / Other documentation
			e e
5.5. Will this material be received from organisations elsewhere in the UK or abroad?	YesNo	Cells will be packaged in sealed containers containing dry ice within secondary containment vessels with the appropriate hazard labels used. Approved couriers will be used when required.	SOP005 - Storage & Transport of Biological Agents v2
5.6. Will this material be stored?	YesNo	Any vial will be removed from the N2 stores by an authorised user according to SOP013 "Use and Maintenance of Liquid Nitrogen Stores" Any further cell stocks will be stored within -80°C freezer, in sealed vials and secondary containment, located in the store room (H18) within CBE lab unit.	SOP013 "Use and Maintenance of Liquid Nitrogen Stores"
5.7. Will infectious material be centrifuged?	Yes No	Sealed rotors and buckets will always be used. Sealed Buckets will be opened upon bench top, unless a spillage within a bucket is suspected, in which case the buckets will be transferred to a BSC and opened within a controlled environment. The following SOPs will be strictly adhered to: SOP088- "Use and Maintenance of Sigma 1-14 Microcentrifuge" SOP308- "Biological Spill Response" Biological spill kits are readily available in each laboratory change room or directly inside laboratories that do not have change rooms.	SOP111 "Use and Maintenance of Sigma 1-14 Microcentrifuge", SOP308- "Biological Spill Response"
5.8. Are biological samples to be cultured in an incubator?	✓ Yes ✓ No No	Static 5% CO2 37°C Incubator Leaks and/or spillages will be dealt with according to approved CBE SOPs which specifically detail methods to prevent, contain and respond to leakages and spillages in an incubator	SOP053- "Use and Maintenance of the Sanyo MCO-18AIC Incubator" SOP038- "Biological Spill Response" SOP114- "Use and Maintenance of the Heracell CO2 Incubators"
5.9. Are sharps to be used at any stage during this activity?	Yes No	Glass slides will occasionally be used. Occasionally needles will need to be used to remove reagents (such as DMSO) from sealed bottles. In these cases users will never re-sheath needles. Sharps will be disposed of in either cytotoxic sharps bins (when using chemicals) or autoclavable bins for biological materials only.	CBE code of practice, SOP088, SOP003
5.10. Are animals to be used in this project?	O Yes O No		
5.11. Will a fermenter / bioreactor be used to culture a biological agent or material?	O Yes No		
5.12. Is there any stage within the experimental procedures when an infectious material is inactivated (other than for disposal)?	O Yes		
5.13 Are any of the following to be used in conjunction with the project?	Carcinog or Mutag		· · · · · · · · · · · · · · · · · · ·
You must complete a cryogen risk assessment before	Toxin		
work begins and add the reference here.	Liqui Nitrog	Oxygen sensors that activate alarm when oxygen levels are lo	SOP013 "Use ar
	lonisir radiati		
5.14. Are there any conditions associated with the hazards described in section 5.13 that require additional control measures?	O Yes O No		
		6. PPE AND HYGENE	
Control Measure	Details	O. T.P.E. AND THE GENE	Reference to SOPs other documentation

Control Measure	Details		2 d		Reference to SOPs other documentation					
5.1 When will gloves be worn?	At all times in the laboratory. Glove w	t all times in the laboratory. Glove will be changed at all appropriate times at regular intervals								
6.2 What type and where will they be stored?	Nitrile	In Lab and in Changing A	rea	e e	CBE code of practice, SOP037					
5.3 When will laboratory coats be worn and wha type are these?	At all times in the laboratory	White Howie		8	CBE code of practice					
6.4 Where will lab coats be stored and what are the arrangements for cleaning or disposal?	In changing area	n changing area Monthly clean by lab manager								
5.5 Provide details of any other types of PPE to b used?	Laboratory safety glasses will be wor Face shield (primarily for handling lic storage in the CBE as directed by SOI Full length aprons will be worn wher facility.	SOP013 "Use and Maintenance of Liquid Nitrogen Stores" and when operating the autoclave as directed by SOP025 "Use and Maintenance of Systec VX-95 Autoclave CBE045								
6.6 Describe the lab hygiene facilities available and where they are located	Designated eye wash stations and hand washing facilities are available in the change room of each laboratory; other hand basins are situated directly inside the analytical laboratory and in the main change area as entering and exiting the facility.	Changing room outside lab.		SOP038 - Biological spill response						
6.7 Where are the first aid boxes and emergency spill kits located?		All biological spill kits are	in the chan	ges room						
	7. WA	ASTE								
7.1 How will waste be treated prior to disposal										
(Note that all differently treated wastes must be included e.g. if some liquid is autoclaved, but others not, then describe both)	Treatment prior t	o disposal	Is the treatment validated?	100	e to SOPs / other umentation					
LZT HOUIG WASIE	Virkon Decontamination according to SC Waste"	DP003 "Disposal of Biological	YesNo	SOP003 "Dis Waste"	posal of Biological					
	Samples with seeded cells will be treated 24 h the Virkon and samples will be disp		YesNo	SOP003 "Dis Waste"	posal of Biological					
Other (Specify)			- AU	22						
7.2 Is any waste being autoclaved?	æ		Ves No	Waste", SOP	posal of Biological 025 "Use and e of the Systec laves"					
All cycles have been validated for the actual I (If Yes, documentary evidence of the validation			YesNo		e and Maintenance CVX-95 Autoclaves"					
The successful completion of every load is ch	ecked prior to disposal?		YesNo		e and Maintenance CVX-95 Autoclaves"					
7.3 How will liquid waste be disposed of?			9							

			7. WASTE			
✓ To drain?		After treatment wi	th virkon			After 1% Virkon decontamination for 24 hour waste is poured down the dra followed by copious amounts of water. Refer to SOP003 "Disposal of Biological Waste' In the occurrence of a contamination, flask will be treated with 3% Virkon overnight before being disposed of, refer to SOP003 "Disposal of Biological Waste'
As solid waste	?		. *			
Other (Specify)		· · · · · · · · · · · · · · · · · · ·	× × ×		
.4 How will solid w	raste be disposed of?				# # # # # # # # # # # # # # # # # # #	
	Categorisation	* 2	Waste stream colour code		Disposal n (Edit as req	
✓ Sharps			Orange	Yellow/Orange lidded s potentially infected > c		oclave sterilisation if known or oosal (incineration)
✓ Sharps contam	ninated with cytotoxic or cy	rtostatic material	Purple	Yellow/Purple lidded Si 1000C)	narps bin >clinic	al waste disposal (incineration @
Human body p preserves and the site	oarts, organs, including blo excreta that have been pre	od bags and blood treated before leaving			, a	
	arcasses or recognisable pa ore leaving the site	arts that have been	*		,	
✓ potentially con	known infected lab wastes itaminated with cytotoxic of been pretreated before lea	or cytostatic material	Purple	Yellow/Purple clinical w	vaste bags > clin	ical waste disposal (incineratior
	nown infected lab wastes to ore leaving the site	hat have <u>NOT</u> been	Yellow	Yellow clinical waste ba	ıgs > clinical was	te disposal (incineration)
Infected or pot	entially infected lab waster ore leaving site	s that <u>HAVE</u> been	Orange	Disinfection or sterilisat		e > orange clinical waste bags >

8.1 Are preventative maintenance and monitoring regimes in place for the following laboratory equipment?

	Inspection / Servicing Frequency	Cleaning / Disinfection Frequency	Monitoring / Alarms Frequency	Reference to SOPs
Centrifuges	Weekly inspections carried out during lab clean. Serviced every 2 years	Performed according to relevant SOP		SOP004 – General laboratory housekeeping SOP088- "Use and Maintenance of Sigma 1-14 Microcentrifuge
√ BSCs	Weekly inspections carried out during lab clean. Serviced every 12 months.	Before and after every use the BSC is wiped down with 1:50 chemegene, which is left to dry then followed by 70% IMS. There is a thorough weekly clean with 1:20 Chemgene which is left to dry then followed by 70% IMS.	Alarms are present on the BSCs to inform if the sash is not correctly positioned. The display in the BSC also detailed the level of air flow which is monitored and recorded on every use.	SOP009- Use and Maintenance of Herasafe KS Class II BSC SOP104- Use and Maintenance of HERASAFE KS Class II re-circulating BSCs SOP004 – General laboratory housekeeping

		8. MAI	NTENANO	E			
Fume Hoods			41				
✓ Autoclaves	6 months	Autoclaves have we monthly cleaning a in SOP. The usage is record time it is used and vissues occurred.	s detailed ed each	The autoclave alarms when a cycle fails		SOP025 "Use and Maintenance of Systec VX-95 Autoclave CBE045" SOP024 "Use and Maintenance of Systec VX-95 Autoclave CBE044	
✓ Incubators	Inspection during weekly lab duties. Annual servicing.	Decontamination is accordance with SC		Alarms trig temperatur concentrati		SOP053 "Use and Maintenance of the Sanyo MCO-18AIC CO2 Incubator"	
Liquid N ₂ Stores	LN2 stores are checked and topped up twice weekly			that LN2 storefilled. LN2 stores temperatur	are in place any time ores are being are connected to be probes to orage temperatures.	SOP013 – Use and maintenance of liquid nitrogen stores	
Failure contingency plan				20 X 4			
✓ Freezers	-Inspected / defrosted and cleaned every 6 – 12 months -Monthly temperature checks with a calibrated thermometer along with other inspections and manual challenge of alarms	2% Neutracon/ 1% followed by 70% IM		On board a thermocou monitoring	ples linked to	SOP016 "Use and maintenance of Fridges and Freezers"	
Failure contingency plan					3 - 2		
✓ Fridges	Inspected / defrosted and cleaned every 6 – 12 months	2% Neutracon/ 1% followed by 70% IM		On board alarms and thermocouples linked to monitoring system.		SOP016 "Use and maintenance of Fridges and Freezers"	
Failure contingency plan		# T =	(8)				
✓ Others	Nucleocounter NC-3000					SOP121 "Use and maintenance of Chemometec NC3000 Nucleocounter"	
		9. T	RAINING				
9.1. Have all project researce	ch workers undertaken safety trainir	ng for working with ha	zardous or p	otentially haz	zardous biological ma	terials and agents at CL2?	
Na	me of researcher	Had Training		g completed completed)	e :	f no, state why	
Sotiria Toumpaniari		Yes No	16 Jan				
Maria Pavlidou		• Yes • No	15 Oc	et 2019			
Sotiris Korossis		• Yes	15 March 2019			CZ .	
9.2. This work involved	ves HTA 'Relevant Material', confirm	that all project resear	ch workers h	ave undertak	en HTA training		
		10. EMERGEN	ICY PROC	EDURES			
10.1 Are procedures in pla	ce for dealing with spillage of infect	tious or potentially inf	ectious mate	erial	, ,	9 Table 1	
g 8 2	Equipment			s t	Refere	nce to SOPs	
F		d 9	n 5	a # 2			

		10.	EMERGENCY PRO	OCEDURES			
✓ Within the BSC	*	· .	× .	SOP006- Selection and Use of Virkon, SOP009- Use and Maintenance of He			
✓ Within the centri	ifuge	6	n D	SOP088- "Use	e and Maintenance of Sigma 1-14	Microcentrifuge" SOP308- "	
Within the labora	atory, but outside any primary co	ntrol measures	(e.g. BSC)	1 - SOP006- S	election and use of Virkon Disinf	ectant 2- SOP038- Bioloigcal 🚹	
Outside the labo	✓ Outside the laboratory				ogical Spill Response". Spill respo	onses are detailed in SOP005	
Are procedures in pl	lace for the security of these HTA	Relevant sample	es?				
Loss or theft of sa	amples (including whilst in transit)				. (
Loss of traceabili	ty of samples		= 8 # 5				
Incorrect disposa	ll of samples	•,					
10.2 Describe the proc	edures in place for an accidental	exposure	¥			e e	
	Skin-flood area with running wa with eye wash for 15 minutes, flu water, hold eye open. For breaka not suck. Ingestion- contact first requiring medical attention, indi and Emergency Department/Mir	sh eyeball for 1 ges to skin- enc aider. In the eve viduals should a	5 mins with cold ourage bleeding, do ent of a serious injury attend the Accident	Ref to SOP's	CBE SOP038 "Biological Spill R	esponse"	
When and whom to report the incident	Immediately to laboratory manage	gement and first	t aiders. University or	Ref to SOPs	CBE SOP038 "Biological Spill Re	esponse"	
			11. ACCESS	3			
				Explan	ation	References	
11. Is/are the lab(s) add	equately separated from other	⊘ Yes					
areas (e.g. offices)?		O No	2	2			
4			Access to CBE I	aboratories	is restricted to		
8° . 1		○ No	status, operato	rs must satis	o obtain authorised user ofy minimum training anagement and Health		
			include a detai Practice (CoP), aspects of class biological ager	led review o this docume s 2 working i nts, waste m of lab equipr	ic training modules f the current Code of ent details specific n relation to handling anagement, training ment and emergency responses.		
11.2. Is/are the lab(s) on other users not involve	or other work areas shared with ed in the project?		file, which is he to being grant file must be re	eld in the CB ed access to viewed and s	in a personal training E office at all times. Prior CBE labs, each training signed off by both lab artmental safety officer	CBE code of practice, SOP004	
			responsibility of training needs SOPs and risk a equipment and	of the operat prior to the assessments d/or procedu	s been granted, it is the for to identify specific start of new projects. relevant to project ures can be used as are live documents and		

		11. A	CCESS				
11.3. Describe the measures in place to ensure that hazardous biological agents or HTA relevant material is secure		Restricted and key repersonned Biological experiments storage is with 1% For Restricted and key repersonned filled appersonned filled filled appersonned filled filled appersonned filled filled appersonned filled filled filled filled filled filled filled appersonned filled	d access to ights are g il that have ropriate ri il has no ac I material int by imm required P/S at 4°C. d access to ights are g I that have	will be deconnersing it in 19 material will ke laboratory. So iven only to a se undergone is k assessmen	wipe card account or is a count or is a coun	cess nave zed er 24h. If BS	SOP005, SOP003
		12. OCCUI					tion (V) Yes
12.1. All workers involved with handling unscreened bloo Have all workers involved in this project been immunized		ducts and other t	issues are re	commended to h	iave Hepatitis B i	mmunisa	ion. (V) res
12.2. Is health surveillance required?	ed ^a a s				**************************************		○ Yes ② No
		42 NOTE	ICATIONS				
12.1 Are any of the collecticates as fluide covered by	the Human	13. NOTIF	ICATIONS				
13.1. Are any of the cells, tissues or fluids covered by under the University HTA Licence?	the Human	rissue Act (HTA)					
13.2. Are any of the cells, tissues or fluids obtained from with REC approval for generic research use?	om a HTA lic	ensed biobank					
13.3. Does this work have ethical approval from a rec Ethics Committee?	ognised NHS	S Research			# II W		
13.4. Does any of the work require approval from the Committee?	University E	thical		a safe			
13.5. Do any of the materials require approval for use Bank Steering Committee (MRC)?	from the U	K Stem Cell					
13.6. Do any of the materials or biological agents liste licenses?	ed require ar	ny other			A. I		
		14. APPI	ROVALS				
Authorised Person		Professo	r Sotir	is Koros	S is Korossis		by Professor Sotiris 0 09:44:36 +01'00'
Departmental Biological Safety Advisor			* * }		,		
University Biological Safety Officer (or Deputy)		(Mc	wh	Cka	o a negh	(0	to behalf of Julie Turner