

Loughborough University	Safety Department use only	Material(s) Classification
	Reference Number: <input type="text"/>	Hazard Group 1 <input type="checkbox"/>
Biological Risk Assessment	CBE Use only	Hazard Group 2 <input checked="" type="checkbox"/>
	Reference Number: <input type="text" value="CBE 167"/>	GMO <input type="checkbox"/>
		HTA Licensable <input type="checkbox"/>

FORM CBE-RA-Form/002 Version 0.3

## RISK ASSESSMENT AND PROJECT REGISTRATION FOR WORK INVOLVING BIOLOGICAL MATERIAL

**PLEASE READ CAREFULLY**

This form acts to register projects involving the use of Biological Agents and / or Genetically Modified Micro-Organisms, or of materials that may be contaminated with these agents. It assesses the hazards and risks associated with the project as well as identifying those at risk and the measures necessary for preventing, or controlling these risks. Please ensure that sufficient detail is provided when completing this form and that the relevant written SOPs are referenced where required. Once completed and approved, all risk assessments must be supplied to all those working within this project. The work described within this form must not commence until this risk assessment has been completed and approved and that all necessary control measures are in place.

Any changes to the work, or the persons involved, must be notified to the authorised person. All changes requested must be recorded within the risk assessment change control form and may also need to be incorporated within an amended version of this form.

A separate risk assessment will be required for assessing risks associated with GMO activities.

**The following declaration must be completed and undersigned by the Principal Investigator or Person Responsible for the project**

- All information contained in this form is accurate and comprehensive.
- All workers involved will be instructed that their work must remain within the boundaries of this project registration & assessment.
- All workers have been given, or will be given before they become involved, adequate training and where necessary their competency assessed.
- All workers have, or will be before their involvement begins, enrolled with Occupational Health for health clearance where necessary.
- It is understood that this risk assessment shall not be transferred to a third party without the PI/Supervisor/Line Manager named in this form either taking responsibility for the new activities, or ensuring that a new proposal is submitted.
- All changes to the work covered by this form will be reassessed & the changes submitted to the authorised person before those changes are made to the work.

Principal Investigator		Person conducting this risk assessment	
Name	<input type="text" value="Elizabeth Ratcliffe"/>	Name	<input type="text" value="Jenna Davis"/>
Position	<input type="text" value="Vice Chancellors lecturer in Biological Engineering"/>	Position	<input type="text" value="PhD student"/>
Department	<input type="text" value="Chemical Engineering"/>	Department	<input type="text" value="Chemical Engineering"/>
School	<input type="text" value="AACME"/>	School	<input type="text" value="AACME"/>

The Project Activity	
Title	<input type="text" value="Fish oils as antimicrobials against the bacteria types often found present in diabetic foot ulcers"/>
Reference Number	<input type="text" value="000"/>
Start Date	<input type="text" value="15 Oct 2018"/>
End Date	<input type="text" value="10 Sep 2021"/>

Others involved in the work	
Names	<input type="text" value="Martin Lindley"/>
	<input type="text" value="Angharad Evans"/>
	<input type="text"/>
	<input type="text"/>

Name	<input type="text" value="E. RATCLIFFE"/>	Signature	<input type="text" value="E. Ratcliffe"/>	Date	<input type="text" value="3/10/18"/>
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## 1. INTRODUCTION

1.1 Background & aim of project	Assessing the components of food grade fish oils and their derivatives (EPA, DHA and resolvins) when used in different combinations against bacterial species often identified in biofilm formations on diabetic foot ulcers. The combined effect of introducing aspirin and non-steroidal anti-inflammatory drugs will also be investigated. The project will utilise biofilm assay and 2D wound scratch models		
1.2 Description of experimental procedures	Bacterial growth and killing assays will be performed. Bacteria will be cultured and subjected to fish oils before the level of bactericide will be measured. Assay methods are to be developed fully during the project, but should involve culture of a biofilm on a cover slip and subjecting it to fish oils. Bacteria to be used are all Hg2. If any new bacteria are used that are not listed in the assessment they will be added by the risk assessment review procedure. A further 2D scratch model will be performed (Chen et al. 2014 is attached for methods), where in cells are cultured and a line scratched through with a pipette tip to study the regrowth of cells.		
1.3 Where will this work be carried out?	Rooms/areas	H23, H25 and H29	
	Building(s)	CBE	

2.1 Human or animal tissues, cells, body fluids or excreta will be used in this project

## 2. TISSUES, CELLS, BODY FLUIDS OR EXCRETA

2.2 List all cells, tissues, body fluids and excreta to be used. For cells, indicate primary, continuous or finite.

Material type	Organ source	Species	Where it will be obtained from (include country of origin)
Human neonatal dermal fibroblasts and adult epidermal keratinocytes	Skin	Human	ATCC

2.3 Material(s) listed in section 2.2 above are considered to be 'relevant material' under the Human Tissue Act 2004.

2.3.1 Relevant material type	<b>Source / Provider</b> A = Commercial provider B = HTA licensed Biobank with REC approval for genetic research use C = Other D = Organisation with REC approval for research use E = Imported					
Fibroblasts and keratinocytes	<input checked="" type="checkbox"/> A	<input type="checkbox"/> B	<input type="checkbox"/> C	<input type="checkbox"/> D	<input type="checkbox"/> E	ATCC

2.4 Has any material listed in 2.2 been genetically modified in any way?  
If Yes, add a reference number and complete the GMO Risk Assessment Form.

Yes  
 No

2.5 Has any of the material listed in section 2.2 been identified in the list of cross-contaminated / misidentified cell lines?

Yes  
 No

2.6 Describe what infectious/communicable disease agents or diseases this material(s) has been screened for, eg HIV, HBV, HCV, TSEs, HTLV etc. If Yes, provide details

Yes  
 No

2.7 Will any clinical history or veterinary screening be provided?

2.8 What is the likelihood of infection of any of this material?  
Consider the worst case if multiple materials are to be used.

The risk is:  High  Low  
 Medium  None

2.9 Name and classify the biological agents this material could be infected with

Material Type	
Agent	
ACDP / Defra Classification	

2.10 Describe the type and severity of the disease that can be caused to humans or animals by each of the agents that could be present

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2.11 Biological agents will be used in this project

**2. BIOLOGICAL AGENTS (i.e. micro-organisms such as bacteria, fungi, microscopic endoparasites)**

2.12 List the biological agents to be used	Name of Agent	Strain(s)	ACDP / Defra Classification
	Escherichia coli	ATCC25922	Hazard Group 2
	Staphylococcus aureus	ATCC6538	Hazard Group 2
	Staphylococcus aureus	MRSA252	Hazard Group 2
	Staphylococcus epidermis	ATCC14990	Hazard Group 2
	Klebsiella pneumoniae	ATCC13883	Hazard Group 2
2.13 Describe the type and severity of the disease that can be caused to humans, animals or plants by each of the agents and if relevant, the particular strains in use	All HG2 agents can cause disease but treatment is readily available		
2.14 Has any strain listed in Section 2.12 been genetically modified in any way?	<input type="radio"/> Yes <input checked="" type="radio"/> No		

**3. CLASSIFICATION OF HAZARD GROUP**

3.1. Are you confident that any non-GM organism, tissue, cell, body fluid, excreta or any component thereof covered by this assessment cannot potentially pose a threat to humans or cause human diseases?	<input type="radio"/> Yes - Classify as HG1
3.1.1. Can any non-GM organism, tissue, cell, body fluid, excreta or any component thereof cause human disease and potentially be a hazard to humans but is unlikely to spread to the community and for which there is usually effective prophylaxis or treatment available?	<input checked="" type="radio"/> Yes - Classify as HG2
3.1.2. Can any non-GM organism, tissue, cell, body fluid, excreta or any component thereof cause severe human disease and potentially be a serious hazard to humans and that may spread to the community, where effective prophylaxis or treatment may or may not be available?	<input type="radio"/> Yes
3.2. Do any of the materials contain pathogens or toxins covered by the Anti-Terrorism Crime and Security Act?	<input type="radio"/> Yes <span style="border: 1px solid black; padding: 2px;">ATCSA Schedule 5</span>

**ASSIGNMENT OF CONTAINMENT LEVEL**

**4. TISSUES, CELLS, BODY FLUIDS OR EXCRETA**

4.2. Will any culturing of the material described in section 2 take place? <i>If Yes, describe which cell(s) will be cultured and under what conditions.</i>	<input checked="" type="radio"/> Yes <input type="radio"/> No	Keratnocytes and fibroblasts will be cultured under containment level 2 conditions
4.3. Could HIV permissive cells be present*? <i>If Yes, describe the cells and for how long these cultures will be allowed to grow. If unsure seek advice. Refer to CBE Code of Practice for details on additional precautions.</i>	<input type="radio"/> Yes <input checked="" type="radio"/> No	
4.4. What is the maximum volume of culture grown?	Per Vessel: 40 <small>cm</small> L Number of vessels: 50	
4.5. Will the tissues, cells, body fluids or excreta be manipulated in any way that could result in the concentration of adventitious biological agent present? <i>If Yes, explain.</i>	<input type="radio"/> Yes <input checked="" type="radio"/> No	

#### 4. TISSUES, CELLS, BODY FLUIDS OR EXCRETA

4.6. Will any of the tissues, cells or fluids be donated by you or your colleagues working in or with access to the labs?

Yes  
 No

#### 4. BIOLOGICAL AGENTS (ie micro-organisms such as bacteria, viruses, fungi, microscopic endoparasites)

4.8. Describe ALL route(s) of infection (relevant to the laboratory setting) and the minimum infectious dose(s), if known

Name of agent	Route(s)	Minimum infectious dose
Escherichia coli	Inhalation/Ingestion	
Staphylococcus aureus	Inhalation/Ingestion	
Staphylococcus epidermids	Inhalation/Ingestion	
Klebsiella pneumoniae	Inhalation/Ingestion	

4.9. What is the highest concentration and volume of agent(s) to be worked with?

Per experiment	Total stored
100mL at 10E8	500mL

4.10. Are there any known drug resistances amongst the strains to be used? If Yes, explain what these are and the consequences

Yes  
 No

S. aureus strain MRSA252 is methicillin resistant. It cannot be treated with methicillin so alternative antibiotics would be needed to treat any infection - but its resistance profile is known and other treatments are available

4.11. What forms of agent will be used e.g. spores, vegetative forms and are there any issues over the robustness of these particular forms e.g. resistance to disinfectants or increased stability on dry surfaces?

Vegetative forms with no known resistance to disinfectant

4.12. What will be the most hazardous procedure involving the use of this material?

Biofilm culture

#### 5. RISKS AND CONTROL MEASURES

Risk		How will this be controlled?	Reference to SOP's / Other documentation
5.1. Might infectious droplets, aerosols or splashes be created, either deliberately or by accident?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Some aerosols may be generated during cell culture, manipulation and pipetting of cells. A class II-BSC will be used for all cell culture work to protect against aerosols or splashes. All work will be carried out using aseptic technique, maintaining a sterile environment for the cells and also protecting the operator and other users of the laboratory from biological agents using a class II BSC.	SOP038- Biological Spill Response SOP009- Use and maintenance of HERASafre KS Class II BSC
5.2. Will this material be transported within the laboratory e.g. between BSC & Incubator?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Sealed filter flasks will be used and be aseptically handled according to SOP005. All microbiological culture will not leave H29.	SOP005-Storage and transport of biological materials
5.3. Will this material (including waste) be transported locally between sites on campus but outside the laboratory?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Not anticipated but any material would be transported in a sealed primary container and a secondary container.	SOP005-Storage and transport of biological materials SOP003- Disposal of biological waste
5.4. Will material(s) listed in section 2.2 or section 2.3 be shipped to organisations elsewhere in the UK or abroad?	<input type="radio"/> Yes <input checked="" type="radio"/> No		

Risk		How will this be controlled?	Reference to SOP's / Other documentation		
5.5. Will this material be received from organisations elsewhere in the UK or abroad?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Some bacterial specimens may be received from Dr Rowena Jenkins from Swansea University who has access to clinical diabetic foot ulcer isolates. On receipt, the integrity of the package is checked and then quarantined until it has been deemed suitable for use.	SOP008- Management and Control of Incoming Biological Material		
5.6. Will this material be stored?	<input checked="" type="radio"/> Yes <input type="radio"/> No	A portion of cells will be cryopreserved in order to maintain a bank of comparable cells to work with. Cryopreservation and thawing of cells will be performed according to the relevant SOPs. When biological agents are in use the separate incubator in H29 will be used. Storage in the fridge will be used where appropriate.	SOP005-Storage and transport of biological materials SOP013- Use and maintenance of liquid nitrogen stores SOP031- Cryopreservation and storage of mammalian cell lines SOP032- Resuscitation of cryopreserved mammalian cell lines		
5.7. Will infectious material be centrifuged?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Sealed buckets will only be opened in the class II laboratory facility. In the case of a spill, SOP038 will be followed.	SOP038- Biological Spill Response SOP153- Use and maintenance of the H29 centrifuge		
5.8. Are biological samples to be cultured in an incubator?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Both standard static and shaker incubators at 37C	SOP079- Use and maintenance of HeraCell CO2 Incubator SOP053- Use and maintenance of SANYO MCO-18AIC CO2 Incubator		
5.9. Are sharps to be used at any stage during this activity?	<input type="radio"/> Yes <input checked="" type="radio"/> No				
5.10. Are animals to be used in this project?	<input type="radio"/> Yes <input checked="" type="radio"/> No				
5.11. Will a fermenter / bioreactor be used to culture a biological agent or material?	<input type="radio"/> Yes <input checked="" type="radio"/> No				
5.12. Is there any stage within the experimental procedures when an infectious material is inactivated (other than for disposal)?	<input type="radio"/> Yes <input checked="" type="radio"/> No				
5.13 Are any of the following to be used in conjunction with the project?	<input type="checkbox"/> Carcinogens or Mutagens <input type="checkbox"/> Toxins				
You must complete a cryogen risk assessment before work begins and add the reference here.	<input checked="" type="checkbox"/> Liquid Nitrogen			Liquid nitrogen will be in the dewers used for cryostorage	Attached with RA
You must complete a lone working risk assessment before work begins and add the reference here.	<input type="checkbox"/> Ionising radiation <input checked="" type="checkbox"/> Lone working			Attached with this RA	
5.14. Are there any conditions associated with the hazards described in section 5.13 that require additional control measures?	<input type="radio"/> Yes <input checked="" type="radio"/> No				
<b>6. PPE AND HYGIENE</b>					

Control Measure	Details		Reference to SOPs / other documentation
6.1 When will gloves be worn?	At all times		SOP037- Use of personal protective equipment
6.2 What type and where will they be stored?	Nitrile	In Lab and in Changing Area	
6.3 When will laboratory coats be worn and what type are these?	At all times, except separate green coats to be used in H29	White Howle	
6.4 Where will lab coats be stored and what are the arrangements for cleaning or disposal?	Stored in H32 change room and are regularly sent for cleaning	Green lab coats are stored in H29	
6.5 Provide details of any other types of PPE to be used?	Shoe covers worn at all times, face shields for liquid nitrogen work. Aprons worn over howle coats when necessary. Lab safety glasses when needed.		
6.6 Describe the lab hygiene facilities available and where they are located	Every lab	Designated hand washing facilities are located in each lab	
6.7 Where are the first aid boxes and emergency spill kits located?	First aid boxes are in all labs	Spill kits located in autoclave room, H29, H23	

## 7. WASTE

7.1 How will waste be treated prior to disposal

(Note that all differently treated wastes must be included e.g. if some liquid is autoclaved, but others not, then describe both)	Treatment prior to disposal	Is the treatment validated?	Reference to SOPs / other documentation
<input checked="" type="checkbox"/> Liquid waste	Treat with Virkon disinfectant prior to disposal. All waste will be labeled appropriately and only processed by the persons involved in the project to ensure correct processing occurs	<input checked="" type="radio"/> Yes <input type="radio"/> No	SOP003- Disposal of Biological Waste
<input checked="" type="checkbox"/> Solid waste	Autoclavable decontamination as per SOP003. All waste will be labeled appropriately and only processed by the people involved in the project to ensure correct processing occurs. This includes microbial waste.	<input checked="" type="radio"/> Yes <input type="radio"/> No	SOP003- Disposal of Biological Waste SOP024- Use and maintenance of systec VX-95 autoclave CBE044
<input type="checkbox"/> Other (Specify)			

7.2 Is any waste being autoclaved?

	<input checked="" type="radio"/> Yes <input type="radio"/> No	SOP024- Use and maintenance of systec VX-95 autoclave CBE044 SOP025- Use and maintenance of systec VX-95 CBE045
All cycles have been validated for the actual load types used? (If Yes, documentary evidence of the validation must be available)	<input checked="" type="radio"/> Yes <input type="radio"/> No	
The successful completion of every load is checked prior to disposal?	<input checked="" type="radio"/> Yes <input type="radio"/> No	SOP024- Use and maintenance of systec VX-95 autoclave CBE044 SOP025- Use and maintenance of systec VX-95 CBE045

7.3 How will liquid waste be disposed of?

<input checked="" type="checkbox"/> To drain?	After 1% Virkon decontamination for 24h	<input checked="" type="radio"/> Yes <input type="radio"/> No	SOP003- Disposal of Biological Waste
<input type="checkbox"/> As solid waste?			

**7. WASTE**

<input type="checkbox"/> Other (Specify)			
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**7.4 How will solid waste be disposed of?**

Categorisation	Waste stream colour code	Disposal method <small>(Edit as required)</small>
<input type="checkbox"/> Sharps		Disinfection or sterilisation in the lab site > Yellow/Orange lidded rigid one way sealed tissue bins > clinical waste disposal (Incineration)  *Human tissue waste must be placed in separate containers from non-human waste and labelled 'HTA waste'
<input type="checkbox"/> Sharps contaminated with cytotoxic or cytostatic material		
<input type="checkbox"/> Human body parts, organs, including blood bags and blood preserves and excreta that have been pretreated before leaving the site		
<input type="checkbox"/> Animal body carcasses or recognisable parts that have been pretreated before leaving the site		
<input checked="" type="checkbox"/> Potentially or known infected lab wastes contaminated or potentially contaminated with cytotoxic or cytostatic material that have <b>NOT</b> been pretreated before leaving the site	<b>Purple</b>	Yellow/Purple clinical waste bags > clinical waste disposal (incineration)
<input checked="" type="checkbox"/> Potentially or known infected lab wastes that have <b>NOT</b> been pretreated before leaving the site	<b>Yellow</b>	Yellow clinical waste bags > clinical waste disposal (incineration)
<input checked="" type="checkbox"/> Infected or potentially infected lab wastes that <b>HAVE</b> been pretreated before leaving site	<b>Orange</b>	Disinfection or sterilisation in the lab site > orange clinical waste bags > clinical waste disposal (incineration)

**8. MAINTENANCE**

**8.1 Are preventative maintenance and monitoring regimes in place for the following laboratory equipment?**

	Inspection / Servicing Frequency	Cleaning / Disinfection Frequency	Monitoring / Alarms Frequency	Reference to SOPs
<input checked="" type="checkbox"/> Centrifuges	Inspected before use and during weekly clean. Serviced after 100-150 hours of use	At the end of each days use and during the weekly clean. Inside the chamber, all parts of the rotation assembly and any head accessories are cleaned and dried	Centrifuge is monitored throughout use	SOP004- General laboratory house keeping
<input checked="" type="checkbox"/> BSCs	Inspected before every use and during weekly clean. Regularly serviced	BSCs are cleaned before and after every use with 1:50 Chemgene and 70% IMS and undergo a deep clean once a week. After each use, BSCs also undergo a round of UV disinfection	Record is kept of downflow velocity (m/s) and performance factor after each use	SOP009- Use and maintenance of HERASAFE KS Class II BSC SOP004- General laboratory house keeping
<input type="checkbox"/> Fume Hoods				
<input checked="" type="checkbox"/> Autoclaves	Inspected before every use and serviced when needed	Room and autoclaves cleaned weekly. Inside not cleaned as its routinely sterilised during use.	Monitored before use - results from previous run printed off once its complete	SOP024- Use and maintenance of systec VX-95 autoclave CBE044 SOP025- Use and maintenance of systec VX-95 CBE045

8. MAINTENANCE				
<input checked="" type="checkbox"/> Incubators	Inspected once a week and regularly by operator prior to use	Incubators are cleaned and decontaminated every fortnight unless a contamination occurs.	Constant monitoring, incubator will sound an alarm if change in temperature or CO2 occurs	SOP079- Use and maintenance of Heracell CO2 Incubator SOP053- Use and maintenance of SANYO MCO-18AIC CO2 Incubator
<input checked="" type="checkbox"/> LN2 Stores	Cryobanks checked once a week, delivery of cylinders once a week and stored outside in gas pod	Gas pod - n/a. Cryobanks are rotated when LN2 goes cloudy	Gas cylinders are attached to alarms in office	SOP013- Use and maintenance of liquid nitrogen stores
<input checked="" type="checkbox"/> Freezers	Weekly inspection, PAT tested yearly	Cleaned when defrosted as needed	Constant monitoring, connected to alarms in office	SOP016
<input checked="" type="checkbox"/> Fridges	Weekly inspection, PAT tested yearly	Cleaned every month	Constant monitoring, connected to alarms in office	SOP016
Others				
<input type="checkbox"/> Others				

### 9. TRAINING

9.1. Have all project research workers undertaken safety training for working with hazardous or potentially hazardous biological materials and agents at CL2?

Name of researcher	Had Training	Date training completed (or will be completed)	If no, state why	+
Jenna Davls	<input checked="" type="radio"/> Yes <input type="radio"/> No	November		x

9.2. This work involves HTA 'Relevant Material', confirm that all project research workers have undertaken HTA training

Name of researcher	Had Training	Date training completed (or will be completed)			If No, state why	+
		Induction	On-line	In-house		
Jenna Davls	<input checked="" type="radio"/> Yes <input type="radio"/> No	4 Sep 2018	4 Sep 2018	23 Oct 2018		x

### 10. EMERGENCY PROCEDURES

10.1 Are procedures in place for dealing with spillage of infectious or potentially infectious material

Equipment	Reference to SOPs
<input checked="" type="checkbox"/> Within the BSC	SOP038- Biological Spill Response
<input checked="" type="checkbox"/> Within the centrifuge	SOP038- Biological Spill Response
<input checked="" type="checkbox"/> Within the laboratory, but outside any primary control measures (e.g. BSC)	SOP038- Biological Spill Response
<input checked="" type="checkbox"/> Outside the laboratory	SOP038- Biological Spill Response



### 10. EMERGENCY PROCEDURES

10.2 Describe the procedures in place for an accidental exposure			+
Immediate action	Leave the vicinity with anyone present to allow any aerosol to settle for a minimum of 30 minutes. Dispose of any contaminated PPE or outerwear and ensure that other users of the area are aware and do not enter until the spill is cleared and it is deemed safe to return	Ref to SOP's	SOP038- Biological Spill Response
When and whom to report the incident	The incident is reported to the lab manager once all staff have exited	Ref to SOP's	SOP038- Biological Spill Response

### 11. ACCESS

		Explanation	References
11.1. Is/are the lab(s) adequately separated from other areas (e.g. offices)?	<input checked="" type="radio"/> Yes <input type="radio"/> No		
11.2. Is/are the lab(s) or other work areas shared with other users not involved in the project?	<input checked="" type="radio"/> Yes <input type="radio"/> No	There is no risk to other lab users. However, to reduce whatever risk may arise, work will be undertaken aseptically in the BSCs as per SOP009, all biological waste will be disposed of as per SOP003 and any used workspace and lab will be cleaned before and after use, as per SOP004. Further to this all microbial work will be performed only in H29 to reduce any potential exposure/contamination.	SOP009-Use and maintenance of HERASAFE KS Class II BSC SOP003- Disposal of biological waste SOP004-General lab housekeeping
11.3. Describe the measures in place to ensure that hazardous biological agents or material is secure	<input checked="" type="radio"/> Yes <input type="radio"/> No	Material will be kept in the laboratory and clearly labeled, with attached biohazard stickers. Liquid reagents will be stored within a secondary container to reduce risk	SOP005- Storage and transport of biological agents

### 12. OCCUPATIONAL

12.1. All workers involved with handling unscreened blood, blood products and other tissues are recommended to have Hepatitis B Immunisation. Have all workers involved in this project been immunized?	<input checked="" type="radio"/> Yes <input type="radio"/> No
12.2. Is health surveillance required?	<input type="radio"/> Yes <input checked="" type="radio"/> No

### 13. NOTIFICATIONS

<input checked="" type="checkbox"/> 13.1. Are any of the cells, tissues or fluids covered by the Human Tissue Act (HTA) under the University HTA Licence?	Dermal fibroblasts and keratinocytes
<input type="checkbox"/> 13.2. Are any of the cells, tissues or fluids obtained from a HTA licensed biobank with REC approval for generic research use?	
<input type="checkbox"/> 13.3. Does this work have ethical approval from a recognised NHS Research Ethics Committee?	
<input type="checkbox"/> 13.4. Does any of the work require approval from the University Ethical Committee?	
<input type="checkbox"/> 13.5. Do any of the materials require approval for use from the UK Stem Cell Bank Steering Committee (MRC)?	
<input type="checkbox"/> 13.6. Do any of the materials or biological agents listed require any other licenses?	

### 14. APPROVALS

14. APPROVALS

Authorised Person

Departmental Biological Safety Advisor

University Biological Safety Officer  
(or Deputy)

R I TEMPLES

R I Temple

R I Temple

Article

## A Novel Three-Dimensional Wound Healing Model

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**Abstract:** Wound healing is a well-orchestrated process, with various cells and growth factors coming into the wound bed at a specific time to influence the healing. Understanding the wound healing process is essential to generating wound healing products that help with hard-to-heal acute wounds and chronic wounds. The 2D scratch assay whereby a wound is created by scratching a confluent layer of cells on a 2D substrate is well established and used extensively but it has a major limitation—it lacks the complexity of the 3D wound healing environment. Established 3D wound healing models also have many limitations. In this paper, we present a novel 3D wound healing model that closely mimics the skin wound environment to study the cell migration of fibroblasts and keratinocytes. Three major components that exist in the wound environment are introduced in this new model: collagen, fibrin, and human foreskin fibroblasts. The novel 3D model consists of a defect, representing the actual wound, created by using a biopsy punch in a 3D collagen construct. The defect is then filled with collagen or with various solutions of fibrinogen and thrombin that polymerize into a 3D fibrin clot. Fibroblasts are then added on top of the collagen and their migration into the fibrin—or collagen—filled defect is followed for nine days. Our data clearly shows that fibroblasts migrate on both collagen and fibrin defects, though slightly

faster on collagen defects than on fibrin defects. This paper shows the visibility of the model by introducing a defect filled with fibrin in a 3D collagen construct, thus mimicking a wound. Ongoing work examines keratinocyte migration on the defects of a 3D construct, which consists of collagen-containing fibroblasts. The model is also used to determine the effects of various growth factors, delivered in the wound defects, on fibroblasts' and keratinocytes' migration into the defects. Thus this novel 3D wound healing model provides a more complex wound healing assay than existing wound models.

**Keywords:** fibrin; 3D construct; cell migration

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## 1. Introduction

Wound healing is a complex process that consists of four main stages: hemostasis, inflammation, proliferation, and remodeling of the tissue [6,21]. In the initial stage of wound healing, a fibrin clot is formed at the site of the wound shortly following injury [6,21]. Fibrinogen is cleaved into fibrin monomers by thrombin, and the peptide monomers are polymerized by Factor XIII [21]. The resultant fibrin acts as a scaffold for various cells to move in and out of the wound bed [21]. Five to seven days after the initial injury, fibroblasts migrate to the wound site, secreting new collagen, and keratinocytes migrate from the wound edge and form a thin epithelial cell layer to close the wound [6,21]. Various growth factors secreted by invading cells such as macrophages, fibroblasts, and keratinocytes play an essential role during this process [6,21]. Examples of these growth factors include epidermal growth factor (EGF), vascular endothelial growth factor (VEGF), fibroblast growth factor (FGF), and platelet-derived growth factor (PDGF) [1,2,10,14].

In the United States, chronic wounds cost \$20–25 billion a year, and acute or traumatic wounds add another \$7–10 billion annually. There are highly developed wound products including wound dressings (alginates hydrocolloids and hydrogels), skin substitutes, and growth factor based products that are used to treat chronic wounds [21]. It is known that these products do not work well on all patients, suggesting a lack of understanding of the wound healing process, especially in chronic wounds. To that extent, it is very important to establish a 3D wound healing model that helps with better understanding wound healing in general and cell migration specifically. Existing wound healing models range from simple 2D *in vitro* models to 3D *in vivo* models [3]. In the well-established 2D scratch assay, a wound is created by scratching a confluent layer of cells seeded on a substrate and cell migration into the scratch (wound) is followed [20]. A major limitation of this model is that it lacks the complexity of the wound bed microenvironment. More advanced 3D wound healing models have been established over the last few years. For example, in a wound healing model by Karamichos *et al.*, 2009 [12], fibroblasts are embedded in a 3D collagen construct and the cell migration is followed from the denser collagen matrix into a surrounding matrix. The limitations of this model include the use of only one type of ECM protein and one cell type. An improved 3D *in vitro* model is the “human skin equivalents” [3,22]; it contains a stratified layer of keratinocytes and keeps the surface at an air–liquid interface. In this model, the wound closes in 48–72 h, which is comparable to the *in vivo* environment [3,22]. A third 3D model is an example of a 3D *in vivo* model where skin equivalents are cultured with keratinocytes and then grafted into

mice [9]. Our novel 3D model presented in this study has many advantages including the ability to use more than one substrate and more than one cell type in a 3D construct that mimics the wound bed. This work is based on the extensive work that this lab published over the last 10 years studying the proliferation, migration, and behavior of various cell types including fibroblasts, keratinocytes, monocytes, and mesenchymal stem cells in a 3D fibrin construct [4,7,8,11,15,16,19].

In this paper, we present a novel 3D wound healing model that better resembles the wound bed during the wound healing process. The novelty of this model is the ability to cointroduce multiple cell types and many growth factors and be able to measure the cell migration. This initial paper introduces this new 3D wound healing model. We used fibroblasts as an example to show the complexity and the potential of the model. Ongoing work includes cointroducing fibroblasts in the 3D collagen construct while adding keratinocytes on the top and following the migration of both cells in the defect. We are also using the model to examine the effect of various growth factors introduced in the defect on cell migration. The model could also be used to study the effect of different drugs on cell migration during the wound healing process.

## **2. Materials and Methods**

### *2.1. Cells*

Human foreskin fibroblast (HFFs) lines from ATCC (Manassas, VA, USA) were cultured in Dulbecco's modified Eagle's Medium (DMEM) from CellGro (Manassas, VA, USA) with 4.5 mg/mL glucose, 10% fetal bovine serum, and 5% penicillin/streptomycin. Cells were maintained in an incubator at 37 °C and 5% CO<sub>2</sub>. Human epithelial keratinocytes (HEK001, ATCC CRL-2404, Manassas, VA, USA) were cultured in keratinocyte serum-free media (GIBCO) in 5% CO<sub>2</sub> at 37 °C. The medium was changed every three days, and cells were passaged to new flasks upon reaching confluence. Passage 6–10 keratinocytes were used.

### *2.2. 2D Scratch Wound Assay*

One milliliter of protein-containing solution (fibrinogen or collagen) was pipetted into a 12-well plate. The well plate (polystyrene) was used as a comparative control. After a one-hour incubation to allow proteins to adsorb to the surface, the remaining solution was removed and the surface was washed twice with DPBS. Eighty thousand cells/cm<sup>2</sup> were seeded in each well. A reference point was drawn on the bottom of each well. The plate was incubated overnight to allow the cells to adhere to the substrate.

The next day, a pipette tip was used to scratch the confluent cell layer. A Leica DM IRB bright-field microscope was used to image each scratch wound at the reference point. This process was repeated at hourly time points to monitor the cell migration to close the wound area.

### *2.3. Preparation of the 3D Model*

#### **Biopsy Punch Fabrication**

Seven hundred microliter 2.5 mg/mL Purecol (Advanced Biomatrix, San Diego, CA, USA) collagen gels were formed in a 12-well plate. NaOH (0.1 N) was added to the collagen and  $1 \times$  PBS to neutralize and polymerize the collagen. The well plate was placed in the incubator at 37 °C for an hour to allow the collagen to fully polymerize. HFFs were trypsinized using TrypLE Select (Gibco). A specific number of HFFs were isolated to give a final concentration of  $3 \times 10^5$  cells/gel and stained with Vybrant DiO (Invitrogen, Carlsbad, CA, USA). After staining, the cells were washed three times, then seeded onto the collagen gel. After 3 h, a 2 mm biopsy punch attached to a vacuum line was used to punch regions out of the collagen gel. These defects were then filled with 2.5 mg/mL collagen or 10 mg/mL purified fibrinogen (Enzyme Research, South Bend, IN, USA) with 10 IU/mL thrombin (Gibco, Carlsbad, CA, USA). Once the defects were polymerized, 1 mL of media was added to each sample well.

### *2.4. Preparing Conditioned Media*

For each 3D model, a 2D layer of confluent HFFs was seeded in a separate well plate. We prepared the conditioned media in a separate well plate; the number of wells needed corresponded to the total sample wells containing the 3D model. For the scratch-conditioned media, fresh medium was added, and the 2D layer of cells was scratched with a pipette tip 24 h prior to the time point. For the confluent conditioned media, fresh medium was supplied to a confluent 2D layer of HFFs 24 h prior to the time point. After each time point, the medium from the 2D layer was removed, spun down, and added to the 3D construct. For the control, fresh medium was added.

### *2.5. Measuring Cell Migration*

Prior to imaging, the gel surface was gently rinsed three times with medium. The construct was imaged using a Nikon Eclipse Ti fluorescent microscope at day 0, 3, 6, and 9. The FITC filter was used to image the HFFs. The images were further analyzed using ImageJ software to quantify the distance between migrated cells and the wound edge. Day 0 was treated as our reference, since the defect area shrunk as HFFs moved toward the center of the defect, and the migration rate was obtained by plotting the data points from ImageJ.

### *2.6. Statistical Analysis*

Data were presented as the mean  $\pm$  standard error, with  $n = 3$  (triplicate constructs per condition, repeated three times). Data were assessed using Student's *t*-test, with  $p < 0.05$  considered significant.

## **3. Results**

### *3.1. Cell Migration in 2D Scratch Assays*

In this experiment, we created a scratch in a confluent layer of fibroblasts seeded on collagen, fibrin, or polystyrene and measured the fibroblasts migration over two days. Visual analysis (Figure 2) showed